

**EXCEPTIONS TO NORMAL PROCUREMENT PROCEDURES
DECEMBER 2009 – DECEMBER 2010**

DIRECTORATE	NUMBER OF EXCEPTIONS	COMBINED VALUE	FINDINGS (Compliance with Contract Regulations)
Children's Services	42	£1,216,277	There are robust reporting and recording arrangements for the use of exceptions including a Contracts Register recording Contracts.
Children's Transport (Procured via the Transport Services Gp)	11	£222,071	
Adult Social Care	4	£659,530	It was identified that the service do not currently have a complete Contracts Register and therefore this could mean that the use of exceptions could be under reported. It was also reported that compliance and recording arrangements in parts of the service was low.
Supporting People	2	£76,496	Within Supporting People there are reporting and recording arrangements for the use of exceptions including a Contracts Register recording Contracts.
Communities	3	£143,778	There are reporting and recording arrangements for the use of exceptions including a Contracts Register recording Contracts. Whilst there is evidence that staff are obtaining the approvals to comply with the Contract Regulations there was inconsistencies in overall compliance around authorising exceptions.
Resources	9	£609,947	There are reporting and recording arrangements for the use of exceptions including a Contracts Register recording Contracts. Whilst there is evidence that staff are obtaining the approvals to comply with the Contract Regulations there was inconsistencies in overall compliance around authorising exceptions.